|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **ANNEXURE-2** | | | | | | | | | | | | | |
| **RESEARCH SUPPORT & MANAGEMENT** | | | | | | | | | | | | | |
| **(i) Number of research proposals approved for funding by HEC in current year:** | | | | | | | | | | | | | |
| **Details of Research Proposals approved for funding by HEC.** | | | | | | | | | | | | | |
| **SN** | **Category** (like NRPU, GCF, TTSF, ICRG, LCF, SRGP, etc,) | **Name of PI with Designation** | **Department** | **Field of Study** | **Title of Research Proposal** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **1st Instalment Released (Amount & Date) - if any** | **ORIC Overhead in Approved Funding** | **Co-Funding details for this project (if any) from University or other Partners** | **Co-Funding Agency (if any)** | **Date of Approval** | **HEC Ref. No. (attach Award Letter)\*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of award letter**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(ii) Number of research proposals approved for funding (other than HEC) in current year:** | | | | | | | | | | | | | |
| **Details of Research Proposals approved for funding by National or International Funding Agencies.** | | | | | | | | | | | | | |
| **SN** | **Category** | **Name of Funding Agency** | **Name of PI with Designation** | **Department** | **Field of Study** | **Title of Research Proposal** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **1st Instalment Released (Amount & Date) - if any** | **Co-Funding details for this project (if any)** | **Co-Funding Agency (if any)** | **Date of Approval** | **Funding Agency Ref. No. (attach Award Letter)\*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

\* **For proof attach scanned copy of award letter**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(iii) Number of joint research projects approved - (National / International Funding Agencies):** | | | | | | | | | | | | | |
| **Number of joint academic research projects (national & international) approved for funding by National or International Funding Agencies.** | | | | | | | | | | | | | |
| **SN** | **Category** | **Name of PI with Designation, Department & University** | **Name of Co-PI with Designation, Department & University** | **Field of Study** | **Title of Research Proposal** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **1st Instalment Released (Amount & Date) - if any** | **Details of share in term of approved amount (bifurcation for each academic institution)** | **Date of Approval** | **Sponsoring Agency Name and Address & Country** | **Collaborating Partners and their address with country** | **Approval Letter Reference(Attach soft copy)\*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of award letter**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(iv) Number of Research Projects Completed (due to be completed in this year):** | | | | | | | | | | | | |
| **SN** | **Category** | **Name of PI with Designation & Department** | **Field of Study** | **Title of Research Proposal** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **ORIC Overhead in Approved Funding (if any)** | **Project Completion Date or Reason for Delay** | **Co-Funding details for this project (if any) from University or other Partners** | **Project Deliverables and Outcomes (attach brief summary)** | **Project Audited Financial Statement** | **Project Ref. No. (attach Completion Letter from Funding Agency)\*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |

\* **For proof attach scanned copy of award letter**

**\* For proof attach scanned copy of 1st, 2nd & 3rd instalment of funding alongwith utilization report, copy of final reports, completion letter from funding agency (if any)**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(v) R&D Funding Secured: National (HEC, Ignite, PSF, MOST & others from federal or provincial government) / International Funding: \*** | | | | | | | | | | | | | |
| **SN** | **Category** | **Name of Funding Agency** | **Name of PI** | **Designation** | **Department** | **Field of Study** | **Title of Research Proposal** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **ORIC Overhead in Approved Funding (if any)** | **Project Expected Deliverables & Outcomes (Summary)** | **Date of Approval** | **Funding Agency Ref. No. (attach Award Letter) \*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of award letter**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(vi) Number of Research Links established with other HEIs / Corporate Sector / Industry (National & International): \*** | | | | | | | | |
| **SN** | **Type of Linkages (Academic or Research)** | **International or National** | **Name and Address with Country of Host Institution** | **Name and Address with country of Collaborating Agency/Institution** | **Field of Study** | **Scope of Collaboration** | **Linkage Establishment Date** | **Soft copy of Agreement / Linkages \*** |
|  |  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of MoU / agreements, etc.**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(vii) Number of Contract Research Awarded: \*** | | | | | | | | | | | | | |
| **Number of contract research projects (national & international) agreed / funded by National or International Industries.** | | | | | | | | | | | | | |
| **SN** | **Title of Research Proposal** | **Purpose of Research** | **Name of PI with Designation, Department** | **Name of Co-PI with Designation, Department & University (if other than parent HEI)** | **Field of Study** | **Counterpart from Industry (address with country)** | **Duration (Starting and Ending Date)** | **Total Amount Approved (Rs.)** | **Project Expected Deliverables and Outcomes** | **Details of share in term of approved amount (bifurcation for each academic institution)** | **Date of agreement** | **Sponsoring Agency Name and Address & Country** | **Agreement Reference(Attach soft copy) \*** |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

\* **For proof attach scanned copy of agreement**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **(viii) Availability of comprehensive and centralized Research Database and Management Operation (one stop solution for Researchers): \*** | | | | |
| **SN** | **Name of Database Software** | **Focal Person Having Access to Database** | **Different Modules of Software / Reports** | **Access for HEC ORIC Focal Person** |
|  |  |  |  |  |

\* **For proof access provided to HEC ORIC representative**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **(ix) Corporate / Industry Advisory board (IA) & Meetings, Minutes and Action Taken reports \*** | | | | | |
| **SN** | **Date of Meteting** | **Minutes Issued date** | **Actions Suggested** | **Action Compliance** | **List of CIA Board Members and Meeting Attendees** |
|  |  |  |  |  | |

**\* For proof attach scanned notification of CIA Board, list of members, minutes and action taken reports by the ORIC**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Annex – 3** | | | | | |
| **CAPACITY BUILDING** | | | | | |
| **(i) Trainings/ workshops / seminars arranged on research, entrepreneurship, commercialization, and innovation, etc.** | | | | | |
| **SN** | **Title of Training** | **Date of Event** | **No. of Participants** | **Major Focus Area & Outcomes** | **Audience Type (student /faculty /staff) \*** |
| **National Workshops / Trainings** | | | | | |
|  |  |  |  |  |  |
| **International Workshops / Trainings** | | | | | |
| **SN** | **Title of Training** | **Date of Event** | **No. of Participants** | **Major Focus Area & Outcomes** | **Audience Type (student /faculty /staff) \*** |
|  |  |  |  |  |  |

**\* For proof attach scanned copy of brochures / leaflets / letters / program details / program reports, etc.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **(ii) Ideas Competition / Innovation / Entrepreneurial Competition held** | | | | |
| **SN** | **Title of Competition** | **Date of Event** | **No. of Participants** | **Major Focus Area & Outcomes \*** |
| **Ideas Competition** | | | | |
|  |  |  |  |  |
| **Innovation/Entrepreneurial Competition** | | | | |
| **SN** | **Title of Competition** | **Date of Event** | **No. of Participants** | **Major Focus Area & Outcomes \*** |
|  |  |  |  |  |

**\* For proof attach scanned copy of brochures / leaflets / letters / program details / program reports, etc.**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **(iii) Participation of students in training workshops / seminars on entrepreneurship, commercialization innovation etc. arranged by other institutions / HEIs:** | | | | | | | |
| **SN** | **Title of the Training / Workshop** | **Date of Event** | **Name of HEI** | **Major Area of Training** | **Number of Participants** | **Audience Type (Students / Faculty)** | **Major Focus Area & Outcomes \*** |
|  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of certificates of participants**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **(iv) Participation of faculty in training workshops / seminars on entrepreneurship, commercialization innovation etc. arranged by other institutions / HEIs:** | | | | | | | | | | |
| Academic events are critical for sharing of knowledge and research among universities and scholars. These events are a mean to develop a culture of research and knowledge sharing among the universities as well as establishment of linkages with relevant industrial and corporate sectors. These events help to educate faculty and students with the latest developments in their relevant areas of research as well as to provide platform for interaction and exposure to the academicians and researchers. These events also provide avenues for sharing of research and knowledge and help to assess academic and research capabilities and achievements at par with the prevalent national and international practices. | | | | | | | | | | |
|
|
|
| **SN** | **Type of Event (Seminar/Conference/ Symposium/Workshop)** | **Title of the Event** | **Event Date(s)** | **Event Venue**  **(City and Country)** | **Field of Study** | **Type of Event (International or National)** | **Outcome of the event (Proceedings / Abstract Book) published in Journal etc.** | **Sanctioned amount for this event** | **Funding Agencies with their Share in the sanctioned amount** | **Soft copy of Sanction Letter with Event brochure \*** |
|  |  |  |  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of certificates of participants / copy of paper as published in proceedings**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **(vii) Revenue generated from Trainings / Workshops / Seminars arranged by ORIC:** | | | | | | | |
| **SN** | **Title of Training / Workshop / Seminar** | **Type of Activity** | **Starting Date** | **Participants Type (Students / Faculty Members / Staff / ORIC Personnel)** | **No. of Participants** | **Revenue Generated from training / workshop with documentary evidence (enclose soft copy of budget sheet for this training)** | **Focus Area and Outcomes \*** |
|  |  | | |  |  |  |  |

**\* For proof attach scan copy of operational budget includes HR, operational and management cost.**

**\* Scanned audited proof of revenue indicated in income statement**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Annex - 4** | | | | | | | |
| **RESEARCH COMMERCIALIZATION** | | | | | | | |
| **(iii) List of FILED Patents / Trade marks / Design Patent / Copyrights, etc.:** | | | | | | | | |
| **SN** | **Title of Patent / TM / DP / Copyright etc.** | **Name of PI** | **Research Field / Subject / Major Area** | **Patent Grant / Issuance Authority** | **Approving Authority / PO** | **Date of Filing** | **Documentary Evidences \*** | |
|  |  |  |  |  |  |  |  | |

**\* For proof attach scanned copy of published patent application**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **(iv) List of Granted Patents / Trade marks / Design Patent / Copyrights, etc.** | | | | | | | |
| **SN** | **Title of Patent / TM / DP / Copyright etc.** | **Name of PI** | **Research Field / Subject / Major Area** | **Patent Grant / Issuance Authority** | **Approving Authority / PO** | **Date of Filing / Approval / Issue** | **Documentary Evidences \*** |
|  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of issuance / grant letter / notification from concerned PO**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **(v) List of research products / process / prototype gone into prefeasibility / industrial scale testing or prototype development.** | | | | | | | |
| **SN** | **Title / Name of the Product or Process** | **Name and Designation of PI** | **Research Field / Subject / Major Area** | **Research project title under which product or process is being developed** | **Name and Details of Collaborating Industrial Partner** | **Funding Agency** | **Current Status \*** |
|  |  |  |  |  |  |  |  |

**\* For proof attach scanned feasibility report / supporting document / agreement**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **(vi) Patent Licensed to Industry / Businesses / MTA / NDA / LA Signed and Effective - Material Transfer Agreement (MTA) / Non-Disclosure Agreement (NDA) / Licensing Agreement (LA)** | | | | | | | |
| **SN** | **Title of Patent** | **Name and Designation of PI** | **Research Field / Subject / Major Area** | **Industry Name and Details** | **Date of Issuance of Licence** | **Patent Details with Issuance Authority** | **Documentary Evidences \*** |
|  |  |  |  |  |  |  |  |

**\* For proof attach scanned copy of licences / copy of MTA / NDA**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **(viii) Links established with Corporate and Industrial Sector** | | | | |
| **SN** | **Title / Name of the Corporate / Industrial Partner** | **Research Field / Subject / Major Area** | **Date of Signing of Agreement / MoU / Contract etc.** | **Documentary Evidence\*** |
|  |  |  |  |  |

**\* For proof attach scanned copy of MoU / Contract / Agreement**